

RTS 28 top 5 Venues Report



sepiam

Reporting Period : 1 January 2018 - 31 December 2018

Observation Period : 15 February 2018 - 31 December 2018

Legal Entity Name : SEPIAM

LEI : 969500UWLP8FIBBEFGY80

ESMA Class of Financial Instrument

Equities – Shares & Depositary Receipts

Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)

currency derivatives (Swaps, forwards, and other currency derivatives)

The entity is required under MiFID II to take sufficient steps to obtain the best possible result for its clients when executing and must also make disclosures by publishing reports on execution venue selection, further to these obligations as laid out under MiFID II Delegated Regulation C(2016) 3337 and the associated Annex to the Commission delegated Regulation supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the annual publication by investment firms of information on the identity of execution venues and on the quality of execution (Regulatory Technical Specification 28).

RTS 28 top 5 Venues Report

Entity			SEPIAM
Class of Instrument			Equities – Shares & Depositary Receipts
Notification if <1 average trade per business day in the previous year			N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	
Kepler Cheuvreux 9695005EOZG9X8IRJD84	88.63 %	89.31 %	
ODDO BHF SCA 9695002I9DJHZ3449066	8.07 %	5.42 %	
SOCIETE DE BOURSE GILBERT DUPONT 969500UEQ3U3P21QNJ13	1.93 %	1.59 %	
CM CIC Investissement 969500UNUWK5DD7J8P53	0.62 %	2.55 %	
Aurel BGC SAS 5RJTDGZG4559ESIYLD31	0.54 %	0.64 %	
Grand Total	99.79 %	99.52 %	

RTS 28 top 5 Venues Report

Entity			SEPIAM
Class of Instrument			Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)
Notification if <1 average trade per business day in the previous year			Y
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	
Aurel BGC SAS 5RJTDGZG4559ESIYLD31	41.87 %	11.81 %	
ODDO BHF SCA 9695002I9DJHZ3449066	32.41 %	11.81 %	
Kepler Cheuvreux 9695005EOZG9X8IRJD84	14.55 %	3.47 %	
CM CIC Investissement 969500UNUWK5DD7J8P53	11.17 %	72.92 %	
Grand Total	100.00 %	100.00 %	

RTS 28 top 5 Venues Report

Entity		
SEPIAM		
Class of Instrument		
currency derivatives (Swaps, forwards, and other currency derivatives)		
Notification if <1 average trade per business day in the previous year		
Y		
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class
CACEIS Investor Services 96950023SCR9X9F3L662	100.00 %	100.00 %
Grand Total	100.00 %	100.00 %

Greenwich dealing

Franck Chatillon

Founder, Managing Partner

Phone: +41 22 317 40 91

franck.chatillon@greenwichdealing.ch

Stéphane Magnan

Dealing Manager

Phone: +41 22 591 11 60

stephane.magnan@greenwichdealing.ch

Greenwich Dealing Trading Group SA

10, rue de la Tour-Maitresse 1204 Genève - Suisse

Enregistré au "Registre du commerce de Genève" sous le n°:

14972 (fed number CH-660.2.384.013-3)